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Attorney Docket No. (0320-0020) HOOV 120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	CERTIFICATE OF MAILING BY "EXPRESS MAIL"
Michael D. Hooven	"Express Mail" Mailing Label No.: EV322848729US Date of Deposit June 7, 2004
Serial No.: 10/015,476	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated
Filed: December 13, 2001	above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.
Group Art No.: 3739	NAME Renee-Barthel
Examiner: Rosiland S. Rollins	SIGNATURE Lener Double
For: TRANSMURAL ABLATION DEVICE	

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Certificate of Mailing Under 37 CFR 1.10

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- 2. Seventh Supplemental Information Disclosure Statement (in duplicate - 3 sheets);
- 3. PTO/SB/08A (1 sheet);
- 4. Certificate of Mailing (1 sheet);
- 5. U.S. Patent Publication (1); and
- 6. Return Receipt Postcard.

Name: _	Renee Barthel
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TRANSMITTAL EODM

Application Number	10/015,476		
Filing Date	December 13, 2001		
First Named Inventor	Michael D. Hooven		
Group Art Unit	3739		
Examiner Name	Rosiland S. Rollins		
Attorney Docket Number	(HOOV 114) 0320-0014		

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(to be used for all correspondence after initial filing)		Group Art Unit	3739	
		Examiner Name	Rosiland S. Rollins	
Total Number of Pages in This Submission		Attorney Docket Number	(HOOV 114) 0320-0014	
	ENCL	OSURES (check	all that apply)	
Fee Transmittal Form Fee Attached Drawing Licensin After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Termina Request Termina Request		After Allowance Community to Group Appeal Communication to of Appeals and Interference on to Convert to a sional Application on to Convert to a sional Application or of Attorney, Revocation ge of Correspondence After Allowance Communication to Group Appeal Communication to (Appeal Notice, Brief, Reply Brief) Proprietary Information Second Status Letter Other Enclosure(s) (please)		
SIGNAT	URE OF APPLI	CANT, ATTORNEY, OR A	AGENT	
Firm or Individual name Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357) Signature Date June 7,2004				
CERTIFICATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as XUST CHESS mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 7, 2004				
Typed or printed name Renee Bart	thel	10/		
Signature Date June 7 2004				

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Attorney Docket No. (0320-0020) HOOV 120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,476

Filed: December 13, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE

"Express Mail" Mailing Label No.: EV 322848729 US

Date of Deposit June 7, 2004

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NAME Renee Barthel

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Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

SEVENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which the document may be material to the examination of this application. A copy of the document is enclose herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any

(3)

disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being mailed before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114. Accordingly, a fee is <u>not</u> required for the filling of this information disclosure under 37 C.F.R § 1.97(b)(4).

In addition, the cited information was first called to Applicant's attention in an Office Action dated May 21, 2004 in related Application Number 10/015,355 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information

disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under §1.17(p) is not required for the filling of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required.

(A duplicate of this document is enclosed herewith.)

Date: June 7, 2004

Respectfully submitted,

Gary W. McFarron, Esq. Registration No. 27,357

> Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606

Telephone: (312) 236-8500

Attorneys for Applicant

PTO/SB/08A (04-03)

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Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known			
Application Number	10/015,476	···	
Filing Date	December 13, 2001		
First Named Inventor	Michael D. Hooven		
Art Unit	3739		
Examiner Name	Rosiland S. Rollins		
Attorney Docket Number	0320-0020 (HOOV 120)		

-	-		U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,050,996	04-18-2000	Schmaltz et al.	
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FOREIGN PATENT DOCUMENTS						
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		Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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